

# Computational Complexity II: Asymptotic Notation and Classification Algorithms

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# Context

1 Section 1: Computational Complexity

2 Section 2: Asymptotic Notation

3 Section 3: Algorithms

# Computational Complexity

- Computational Complexity of an algorithm  $A$ :

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- Computational Complexity of an algorithm  $A$ :
  - ◇ time, space (memory)

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- Computational Complexity of an algorithm A:
  - ◇ time, space (memory)
  - ◇ worst, average, best case

# Computational Complexity

- Input snapshot **size**  $n$ :

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  - ◇ **#bits** for the representation **input data** to the memory

# Computational Complexity

- Input snapshot **size**  $n$ :
  - ◇ **#bits** for the representation **input data** to the memory
  - ◇ **number of basic components** which constitute the size and difficulty measure of snapshot  
(i.e., vertices and edges of a graph)



# Computational Complexity

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- Computational Complexity of the **problem P**:

Complexity (**worst** case) **best** algorithm which solves the problem P.

# Algorithm Design

- Valuation of computational complexity

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  - ◇ **worst** case

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Running time guarantees for any input of size  $n$ .

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- Valuation of computational complexity

- ◇ **worst** case

Running time guarantees for any input of size  $n$ .

- Generally captures efficiency **in practice** .
- ◇ **Draconian view**, but hard to find effective alternative

# Algorithm Design

◇ average case

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◇ **average** case

the performance of an algorithm averaged over **"random"** instances can sometimes provide considerable insight.



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The term **best case** performance is used to describe an algorithm's behavior under optimal conditions.

- Best solution depending on **application requirements**.
- Average performance and worst-case performance are the most used in algorithm analysis.

# Asymptotic Valuation

- **Running time** of the algorithm A:
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  - ◊ Size class is **intrinsic property** of algorithm.
    - **binary search** → logarithmic time
    - **dynamic programming** → linear time
- **ignores stables** & focuses on runtime size class



# Asymptotic Upper Bounds

## Big-Oh notation

$T(n)$  is  $O(|f(n)|)$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that  $T(n) \leq c \cdot f(n) \forall n \geq n_0$ .

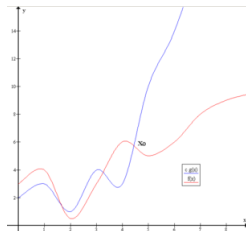


Figure:  $f(x) \in O(g(x))$

# Big-Oh notation

## Example

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$$\forall n \geq 1, qn \leq qn^2 \text{ and } r \leq rn^2$$

$$T(n) = pn^2 + qn + r \leq pn^2 + qn^2 + rn^2 = (p+q+r) n^2 = c n^2$$

# Big-Oh notation

◇  $O(f(n))$  is a set of functions

- $T(n) \in O(f(n))$  ( ✓ )
- $T(n) = O(f(n))$  ( ✗, ✓ )

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◇ **Nonnegative functions:** When using Big-Oh notation, we assume that the functions involved are (asymptotically) nonnegative.

# Asymptotic Lower Bounds

## Big-Omega notation

$T(n)$  is  $\Omega(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that  $T(n) \geq c \cdot f(n) \forall n \geq n_0$ .

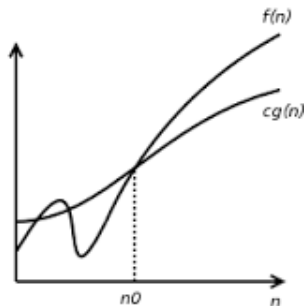


Figure: Big-Omega notation

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Let's claim that  $T(n) = \Omega(n^2)$ .

$$\forall n \geq 0, T(n) = pn^2 + qn + r \geq pn^2 = c n^2$$

# Asymptotically Tight Bounds

## Big-Theta notation

$T(n)$  is  $\Theta(f(n))$  if there exist constants  $c_1 > 0$ ,  $c_2 > 0$  and

$n_0 \geq 0$  such that

$$c_1 \cdot f(n) \leq T(n) \leq c_2 \cdot f(n)$$

$$\forall n \geq n_0.$$

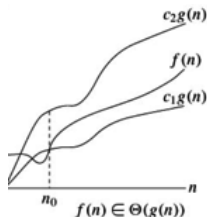


Figure: Big-Theta notation

# Big-Theta notation

## Example

$$T(n) = pn^2 + qn + r, \quad p, q, r > 0$$

# Big-Theta notation

## Example

$$T(n) = pn^2 + qn + r, \quad p, q, r > 0$$

We saw that  $T(n)$  is both  $O(n^2)$  and  $\Omega(n^2)$ .

$$T(n) = \Theta(n^2)$$

# Relational Properties

- Reflexivity:  $O$ ,  $\Omega$ ,  $\Theta$

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- Symmetry:  $f(n) = \Theta( g(n) ) \iff g(n) = \Theta( f(n) )$



# Relational Properties

- Reflexivity:  $O, \Omega, \Theta$
- Transitivity:  $O, \Omega, \Theta$
- Symmetry:  $f(n) = \Theta( g(n) ) \iff g(n) = \Theta( f(n) )$
- Transpose Symmetry (Duality):

$$f(n) = O( g(n) ) \iff g(n) = \Omega( f(n) )$$

# Master Theorem

## Master Theorem

If  $T(n) = a T(\frac{n}{b}) + O(n^d)$  for constants  $a > 0$ ,  $b > 1$ ,  $d \geq 0$ , then

$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$

**Nice Trick** for computing quickly the computational complexity.

Notation	Name
$O(1)$	constant
$O(\log\log n)$	double logarithmic
$O(\log n)$	logarithmic
$O(n)$	linear
$O(n\log n)$	loglinear
$O(n^2)$	quadratic
$O(n^c), c>1$	polynomial
$O(e^n)$	exponential
$O(n!)$	factorial

# Efficient algorithm

## Definition 1

An algorithm is efficient if, when implemented, it runs quickly on **real input instances**.

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- ◇ the omission of where, and how well
- ◇ real input instances
- ◇ scale

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An algorithm is efficient if it achieves **qualitatively better** worst case **performance**, at an analytical level, than brute-force search.

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◇ What do we mean by "qualitatively better performance"?

## Definition 3

An algorithm is efficient if it has a **polynomial running time**.



# Poly-time algorithm

◇ **Desirable scaling property:** When the input size doubles, the algorithm should only slow down by some constant factor  $C$ .

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An algorithm is **poly-time** if the above scaling property holds.

◇ There exists constants  $c > 0$  and  $d > 0$  such that on every input of size  $n$ , its running time is bounded by  $cn^d$  primitive computational steps.

# Why we care for the asymptotic bound of an algorithm?

$n$	$f(n)$	$\lg n$	$n$	$n \lg n$	$n^2$	$2^n$	$n!$
10		0.003 $\mu$ s	0.01 $\mu$ s	0.033 $\mu$ s	0.1 $\mu$ s	1 $\mu$ s	3.63 ms
20		0.004 $\mu$ s	0.02 $\mu$ s	0.086 $\mu$ s	0.4 $\mu$ s	1 ms	77.1 years
30		0.005 $\mu$ s	0.03 $\mu$ s	0.147 $\mu$ s	0.9 $\mu$ s	1 sec	$8.4 \times 10^{15}$ yrs
40		0.005 $\mu$ s	0.04 $\mu$ s	0.213 $\mu$ s	1.6 $\mu$ s	18.3 min	
50		0.006 $\mu$ s	0.05 $\mu$ s	0.282 $\mu$ s	2.5 $\mu$ s	13 days	
100		0.007 $\mu$ s	0.1 $\mu$ s	0.644 $\mu$ s	10 $\mu$ s	$4 \times 10^{13}$ yrs	
1,000		0.010 $\mu$ s	1.00 $\mu$ s	9.966 $\mu$ s	1 ms		
10,000		0.013 $\mu$ s	10 $\mu$ s	130 $\mu$ s	100 ms		
100,000		0.017 $\mu$ s	0.10 ms	1.67 ms	10 sec		
1,000,000		0.020 $\mu$ s	1 ms	19.93 ms	16.7 min		
10,000,000		0.023 $\mu$ s	0.01 sec	0.23 sec	1.16 days		
100,000,000		0.027 $\mu$ s	0.10 sec	2.66 sec	115.7 days		
1,000,000,000		0.030 $\mu$ s	1 sec	29.90 sec	31.7 years		

# Classification algorithms by their design methodology

- Brute-force (or exhaustive search)

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- Divide and conquer
- Dynamic programming
- Randomized algorithms



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## Definition

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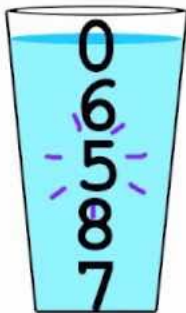
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- ◇ (-) can end up doing far more work to solve a given problem than might do a more clever or sophisticated algorithm
- ◇ (+) is often easier to implement than a more sophisticated one, because of this simplicity, sometimes it can be more efficient

# Bubble Sort



In a **bubble sort**, the “heaviest” item sinks to the bottom of the list while the “lightest” floats up to the top

# Bubble Sort

**Input:** array  $a$  with  $n$  elements

For  $i = 1$  to  $n$

For  $j = i + 1$  to  $n$

If (  $a[i] > a[j]$  )  
    then swap their values  
End - If

5	1	6	2	4	3
---	---	---	---	---	---

End - For

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# Bubble Sort

◇ Computational Complexity:  $O(n^2)$

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WHY???

# Bubble Sort

◇ Computational Complexity:  $O(n^2)$

WHY???

◇ not a practical sorting algorithm when  $n$  is large

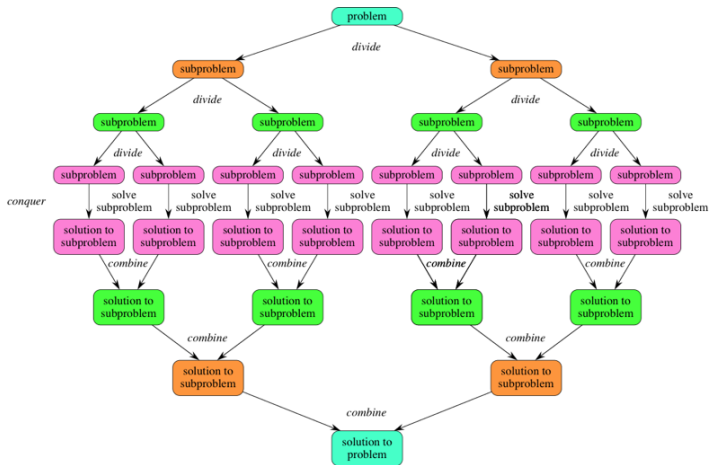


# Divide and Conquer

## Definition

A **divide and conquer** algorithm works by **recursively breaking down** a problem into two or more sub-problems of the same or related type, until these become simple enough to be solved directly. The solutions to the sub-problems are then **combined** to give a solution to the original problem.

## 3 steps of divide and conquer



# Mergesort

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# Mergesort

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$T(n)$  = number of comparisons to mergesort an input of size  $n$ .

- Divide array into two halves (divide  $O(1)$ ).
- Recursively sort each half (sort  $2T(\frac{n}{2})$ ).
- Merge two halves to make sorted whole (merge  $O(n)$ ).

# Mergesort

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◇ Master Theorem ☺

◇ Solution:  $T(n) = O(n \log(n))$

# Dynamic programming

## Divide and Conquer

Break up a problem into **independent** sub-problems, solve each sub-problem, and combine solution to sub-problems to form solution to original problem.

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## Dynamic programming

Break up a problem into a series of **overlapping** sub-problems, and build up solutions to larger and larger sub-problems.

# Applications

◇ Dynamic programming applications:

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Bioinformatics

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## ◇ Dynamic programming applications:

Bioinformatics

Control Theory

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Information Theory

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## ◇ Cocke-Kasami-Younger for parsing context-free grammars.



# Knapsack Problem



# Knapsack

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- Item  $i$  weights  $w_i > 0$  and has value  $v_i > 0$ .
- Knapsack has capacity of  $W$ .
- **Goal**: fill knapsack so as to maximize total value.

# Knapsack problem

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New weight limit  $= w - w_i$ .

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◇ Case 2: OPT selects item  $i$ .

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OPT selects best of  $\{ 1, 2, \dots, i - 1 \}$  using this new weight limit.

# Knapsack problem

Objective function:

$$OPT(i, w) = \begin{cases} 0 & \text{if } i = 0 \\ OPT(i - 1, w) & \text{if } w_i > w \\ \max\{OPT(i - 1, w), v_i + OPT(i - 1, w - w_i)\} & \text{otherwise} \end{cases}$$

# Knapsack Problem

◇ Knapsack problem: bottom-up

KNAPSACK ( $n, W, w_1, \dots, w_n, v_1, \dots, v_n$ )

for  $w = 0$  to  $W$

$M[0, w] \leftarrow 0.$

for  $i = 1$  to  $n$

    for  $w = 0$  to  $W$

        if ( $w_i > w$ )

$M[i, w] \leftarrow M[i - 1, w].$

        else

$M[i, w] \leftarrow \max\{ M[i - 1, w], v_i + M[i - 1, w - w_i] \}$

return  $M[n, W]$

# Knapsack problem: running time

## Theorem

There exists an algorithm to solve the knapsack problem with  $n$  items and maximum weight  $W$  in  $\Theta(nW)$  time and  $\Theta(nW)$  space.

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◇ not polynomial in input size! (**pseudo-polynomial**)

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There exists an algorithm to solve the knapsack problem with  $n$  items and maximum weight  $W$  in  $\Theta(nW)$  time and  $\Theta(nW)$  space.

- ◇ not polynomial in input size! (pseudo-polynomial)
- ◇ NP - complete problem 😊



# Randomized Algorithms

## Definition

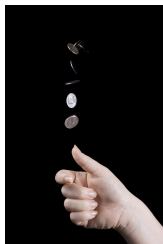
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# Randomized Algorithms

## Definition

A **randomized algorithm** is an algorithm that employs a degree of randomness as part of its logic.

◇ **Randomization**: Allow fair coin flip in unit time.



# Randomized Algorithms

## Why randomize?

# Randomized Algorithms

## Why randomize?

Can lead to simplest, fastest, or only known algorithm for a particular problem! 😊



# Randomized Algorithms

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♦ **Las Vegas**: A randomized algorithm that always outputs the correct answer, it is just that there is a small probability of taking long to execute.

♦ **Monte Carlo**: Sometimes we want the algorithm to always complete quickly, but allow a small probability error.

# Randomized Algorithms

Any **Las Vegas** algorithm can be converted into a **Monte Carlo** algorithm by outputting an arbitrary, possibly incorrect answer if it fails to complete within a specified time.

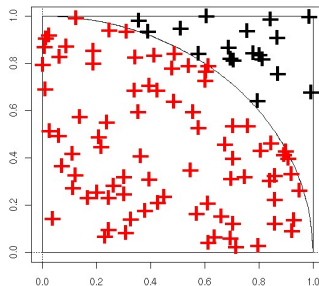


# Randomized Algorithms

Any **Las Vegas** algorithm can be converted into a **Monte Carlo** algorithm by outputting an arbitrary, possibly incorrect answer if it fails to complete within a specified time.

**Monte Carlo algorithm cannot be converted into a Las Vegas**  
(i.e., approximation of  $\pi$ )

# Monte Carlo vs Las Vegas



$$\pi \approx 4 \frac{n(\frac{cycle}{4})}{n(square)}$$

# Next

- ◇ Computational Complexity
- ◇ Complexity Classes (i.e.,  $\mathcal{P}$ ,  $\mathcal{NP}$ )
- ◇ Some nice computational problems ☺
- ◇ Some reductions

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# Thank you!!!